



FORM PTO-1449  LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT  (use several sheets if necessary)	SERIAL NO. 09/858,323	CASE NO. 10736/7  GROUP ART UNIT 2601 2143
	FILING DATE May 15, 2001	
	APPLICANT(S): Peder J. Jungck, et al.	

**REFERENCE DESIGNATION**                    **U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER Number-Kind Code (if known)	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
[REDACTED]					

**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER Number-Kind Code (if known)	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO
[REDACTED]					

EXAMINER INITIAL	<b>OTHER ART – NON PATENT LITERATURE DOCUMENTS</b> (Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.)	
AC	D1	JISC JTAP Report "Network Delivery of High Quality MPEG-2 Digital Video," October 1998, 58 pages.

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(use several sheets if necessary) APPLICANT(S): Peder J. Jungck, et al.		

## REFERENCE DESIGNATION      U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER Number-Kind Code (if known)	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
AC	C1	6,256,671	07/03/01	Strentzsch et al.	709/227	06/24/98
AC	C2	6,070,191	05/30/00	Narendran et al.	709/226	10/17/97
AC	C3	6,006,264	12/21/99	Colby et al.	709/26	03/30/98
AC	C4	6,330,561 B1	12/11/01	Cohen et al.	707/10	06/26/98
AC	C5	6,389,462 B1	05/14/02	Cohen et al.	709/218	12/16/98
AC	C6	6,167,438	12/26/00	Yates et al.	709/216	05/22/97
AC	C7	6,578,073 B1	06/10/03	Starnes et al.	709/219	08/12/98
AC	C8	6,065,055	05/16/00	Hughes et al.	709/229	04/20/98
AC	C9	6,502,135 B1	12/31/02	Munger et al.	709/225	02/15/00
AC	C10	6,256,739 B1	07/03/01	Skopp et al.	713/201	11/26/97

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER Number-Kind Code (if known)	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO

## EXAMINER INITIAL      OTHER ART - NON PATENT LITERATURE DOCUMENTS

(Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.)

AC	C11	3com Virtual Lan Tutorial, obtained from <a href="http://munshi.sonoma.edu/s97/bus420/vlan.html">http://munshi.sonoma.edu/s97/bus420/vlan.html</a> , 7/21/03, pp. 1-21
AC	C12	Przygienda and Droz, Abstract "Proxy PNNI Augmented Routing (Proxy PAR)", pp. 371-377, ©1998 IEEE.
AC	C13	Stevenson and Julin, Abstract, "Client-Server Interactions in Multi-Server Operating," September 1994, 16 pages.

EXAMINER	<i>Ajil Ch</i>	DATE CONSIDERED	<i>9/24/04</i>
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## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
Az	A1 5,805,820	09/08/98	Bellovin et al.	395/200.55	07/15/96
Az	A2 5,938,737	08/17/99	Smallcomb et al.	709/247	02/14/97
Az	A3 5,953,503	09/14/99	Mitzenmacher et al.	395/200.33	10/29/97
Az	A4 5,991,713	11/23/99	Unger et al.	704/9	11/26/97
Az	A5 6,014,660	01/11/00	Lim et al.	707/3	12/09/96
Az	A6 6,016,512	01/18/00	Huitema	709/245	08/18/98
Az	A7 6,046,980	04/04/00	Packer	370/230	11/24/97
Az	A8 6,052,718	04/18/00	Gifford	709/219	01/07/97
Az	A9 6,070,191	05/30/00	Narendran et al.	709/226	10/17/97
Az	A10 6,073,168	06/06/00	Mighdoll et al.	709/217	01/20/97
Az	A11 6,084,878	07/04/00	Crayford et al.	370/389	12/18/97
Az	A12 6,108,703	08/22/00	Leighton et al	709/226	05/19/99

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES NO
Az	A13 EP 0 865 180 A2	03/03/98	Europe	12/56	
Az	A14 WO 00/14938	03/16/00	Europe	29/00	
Az	A15 WO 00/27092	05/11/00	Europe	29/12	
Az	A16 WO 00/28713	05/18/00	Europe	29/02	
Az	A17 WO 98/17039	04/23/98	Europe	29/02	
Az	A18 WO 99/05584	02/04/99	Europe	G06F	
Az	A19 WO 99/09725	02/25/99	Europe	29/06	
Az	A20 WO 99/27684	06/03/99	Europe	12/28, 12/66	
Az	A21 WO 99/60459	11/25/99	Europe	G06F	

EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)	
Az	A22	John Pescatore, Gartner Analyst, "Commentary: Digging into the DNS foundation," obtained at internet address, <a href="http://news.cnet.com/news/0-1005-202-2080091.html">http://news.cnet.com/news/0-1005-202-2080091.html</a> , 6/15/00
Az	A23	Rainbow Technologies Products, "CryptoSwift eCommerce Accelerator," obtained at internet address, <a href="http://isg.rainbow.com/products/cs_1.html">http://isg.rainbow.com/products/cs_1.html</a> , 8/5/00.
Az	A24	FlowWise Networks, Inc., "AutoRoute™ Automatic Configuration of Layer 3 Routing," <a href="http://www.flowwise.com">www.flowwise.com</a> .
Az	A25	FlowWise, "Router Accelerator - RA 7000 from FlowWise," obtained at internet address <a href="http://www.flowise.com/products/ra7000.htm">http://www.flowise.com/products/ra7000.htm</a> .

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M PTO-1449 AUG 29 2001 <i>PATENT &amp; TRADEMARK OFFICE</i>	SERIAL NO. 09/858,323	CASE NO. 10736/7
<b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b> (use several sheets if necessary)	FILING DATE May 15, 2001	GROUP ART UNIT <i>2667 243</i>
APPLICANT(S): Peder J. Jungck		

EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)	
Az	A26	Intel® IXP1200 Network Processor, obtained at internet address, <a href="http://developer.intel.com/design/network/ixp1200.htm">http://developer.intel.com/design/network/ixp1200.htm</a> .
Ac	A27	Marshall Brain, How Stuff Works, "How Web Servers and the Internet Work," obtained at internet address <a href="http://www.howstuffworks.com/web-server.htm">http://www.howstuffworks.com/web-server.htm</a> .
A	A28	Marshall Brain, How Stuff Works, "How Domain Name Servers Work," obtained at internet address <a href="http://www.howstuffworks.com/dns.htm">http://www.howstuffworks.com/dns.htm</a> .
Ac	A29	Curt Franklin, How Stuff Works, "How Routers Work," obtained at internet address <a href="http://www.howstuffworks.com/router.htm">http://www.howstuffworks.com/router.htm</a> .
Ac	A30	Microsoft Corporation, September 1998 "Introduction to TCP/IP," obtained at internet address <a href="http://msdn.microsoft.com/library/backgrnd/html/tcpipintro.htm">http://msdn.microsoft.com/library/backgrnd/html/tcpipintro.htm</a> .
Ac	A31	Robert Stone, "CenterTrack: An IP Overlay Network for Tracking DoS Floods," Article October 1, 1999, pp. 1-9.
Ac	A32	Chapter 1 TCP/IP Fundamentals, obtained at internet address <a href="http://webdocs.sequent.com/docs/tcpoac01/ch_1.htm">http://webdocs.sequent.com/docs/tcpoac01/ch_1.htm</a> , pp. 1-28.
A	A33	Cheng Wu, "Web Switching: A New Generation of Networking," pp 1-3.
Ac	A34	ArrowPoint Communications™ Article, "A Comparative Analysis of Web Switching Architectures," pp. 1-11.
Ac	A35	ArrowPoint Communications™, Brochure, "The Top 12 Benefits of Content Intelligence."
Ac	A36	L. Peter Deutsch, "DEFLATE Compressed Data Format Specification," May 1996
Ac	A37	Antaeus Feldspar, Article, "An Explanation of the Deflate Algorithm," 11 September 1997.
Ac	A38	ArrowPoint Communications™ CDDCenter Vendor Listing, "ArrowPoint CS-50 Highlights" obtained at internet address <a href="http://www.cddcenter.com/arrowpoint.htm">http://www.cddcenter.com/arrowpoint.htm</a> , 5/21/00
Ac	A39	Peter Christy, Analyst Commentary, "When to Distribute Content – The Peters' Principles," obtained at internet address <a href="http://www.cddcenter.com/index.html">http://www.cddcenter.com/index.html</a> , 5/21/00.
A	A40	Content Delivery 101: An Introduction to Content Distribution & Delivery.
Ac	A41	CDDCenter, "How Do Caching and Content Delivery Really Differ?" obtained at internet address <a href="http://www.cddcenter.com/cachingvcontent.htm">http://www.cddcenter.com/cachingvcontent.htm</a> , pp. 1-4, 5/21/00.
Ac	A42	Internet Research Group "Infrastructure Application Service Providers," February 2000, pp. 1-7.
Ac	A43	Peter Christy, Internet Research Group, "Content Delivery Architectures: Why Doesn't One Size Fit All?" pp. 1-12.
Ac	A44	Steven Vonder Haar, <i>Inter@ctive Week</i> , February 14, 2000, "Content Delivery Shifts To Edge of Net," obtained at internet address <a href="http://www.zdnet.com/intweek/stories/news/0,4164,2436865,00.html">http://www.zdnet.com/intweek/stories/news/0,4164,2436865,00.html</a> , Dated 5/22/00.
Ac	A45	David Willis, Network Computing, "The Content-Delivery Edge," obtained at internet address <a href="http://www.networkcomputing.com/1103/1103colwillis.html">http://www.networkcomputing.com/1103/1103colwillis.html</a> , Dated 5/22/00
Ac	A46	Phrack Magazine, "IP-spoofing Demystified (Trust-Relationship Exploitation)" Vol. Seven, Issue Forty-Eight, File 14 of 18, pp. 1-9, obtained at internet address <a href="http://www.fc.net/phrack/files/p48/p48-14.html">http://www.fc.net/phrack/files/p48/p48-14.html</a> , Dated 6/5/00
Ac	A47	Eddie Mission, "What is Eddie?", obtained at internet address <a href="http://www.eddieware.org/what.html">http://www.eddieware.org/what.html</a> , Dated 4/21/00.

EXAMINER <i>Ajil Ch</i>	DATE CONSIDERED <i>9/24/00</i>
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	FILING DATE May 15, 2001	GROUP ART UNIT 2661 2143
APPLICANT(S): Peder J. Jungck		

EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)	
AZ	A48	Cisco Enterprise Solutions, "Quality of Service," obtained at internet address <a href="http://www.cisco.com/warp/public/779/largeent/learn/technologies/qos/">http://www.cisco.com/warp/public/779/largeent/learn/technologies/qos/</a>
AZ	A49	Cisco White Paper, "Delivering End-to-End Security in Policy-Based Networks," obtained at internet address, <a href="http://www.cisco.com/warp/public/cc/pd/nemnsw/cap/tech/deesp_wp.htm">http://www.cisco.com/warp/public/cc/pd/nemnsw/cap/tech/deesp_wp.htm</a>
AZ	A50	Technology Packeteer, obtained at internet address, <a href="http://www.packeteer.com/technology/index.cfm">http://www.packeteer.com/technology/index.cfm</a> .
AZ	A51	Overview Cisco Content Networking, obtained at internet address <a href="http://www.cisco.com/warp/public/cc/so/neso/ienesv/cxne/ccnov_ov.htm">http://www.cisco.com/warp/public/cc/so/neso/ienesv/cxne/ccnov_ov.htm</a>
AZ	A52	Overview Cisco Secure Policy Manager 2.0, obtained at internet address <a href="http://www.cisco.com/warp/public/cc/pd/sqsw/sqppmn/prolit/secmn_ov.htm">http://www.cisco.com/warp/public/cc/pd/sqsw/sqppmn/prolit/secmn_ov.htm</a>
AZ	A53	Alteon Web Systems, White Paper "Optimizing ISP Networks and Services with DNS Redirection," August 1999.
AZ	A54	Alteon Web Systems, White Paper "Virtual Matrix Architecture Scaling Web Services for Performance and Capacity," April 2000.
AZ	A55	Alteon Web Systems, White Paper, Questions and Answers, pp. 1-3.
AZ	A56	3Com Technical Papers, "Layer 3 Switching, 5/98
AZ	A57	Web Cache Communication Protocol Version 2, pp. C-1 to C-54
AZ	A58	RFC2267 Working Group- Denial of Service Counter Measures, Tele-conference Meeting 8/25/00, Moderator, Henry Teng of eBay.
AZ	A59	Track-back Architecture General Requirements Version 0.1, Initial Draft submitted to Counter-DoS Solutions Working Group, 31 July 2000, Edited by Bob Geiger, Recourse Technologies.
AZ	A60	SwitchOn Networks, Inc., ClassiPI™ At-a-Glance.
AZ	A61	C-Port™ A Motorola Company, C-5™ Digital Communications Processor, Product Brief, pp. 1-8, May 4, 2000.
AZ	A62	Peder Jungck, "Building a Faster Cache Server" A Theoretical Whitepaper, Silicon Valley Internet Capital, pp. 1-19.
AZ	A63	IXF1002 Dual Port Gigabit Ethernet MAC, Product Brief, Level One™ an Intel Company.
AZ	A64	NetLogic Microsystems Product Overview.
AZ	A65	Agere, Inc. "The Challenge for Next Generation Network Processors", September 10, 1999
AZ	A66	Philips Semiconductors' VMS747 Security Processor Overview.
AZ	A67	Cisco Systems, Cisco 12000 Series GSR, "Performing Internet Routing and Switching at Gigabit Speeds," obtained at internet address, <a href="http://www.cisco.com/warp/public/cc/pd/rt/12000/">http://www.cisco.com/warp/public/cc/pd/rt/12000/</a> .
AZ	A68	Cisco Systems, "Cisco 10000 Edge Service Router," obtained at internet address, <a href="http://www.cisco.com/warp/public/cc/pd/rt/10000/">http://www.cisco.com/warp/public/cc/pd/rt/10000/</a>
AZ	A69	Nortel Networks™ "Passport 8600 Routing Switch," obtained at internet address, <a href="http://www.nortelnetworks.com/products/01/passport/8600/index.html">http://www.nortelnetworks.com/products/01/passport/8600/index.html</a>
AZ	A70	Lucent Technologies, "InterNetworking Systems," obtained at internet address, <a href="http://www.lucent.com/ins/products/grf/">http://www.lucent.com/ins/products/grf/</a>
AZ	A71	Lucent Technologies, "InterNetworking Systems," obtained at internet address, <a href="http://www.lucent.com/ins/products/grf/grf1600.html">http://www.lucent.com/ins/products/grf/grf1600.html</a>

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SEARCHEDLIST OF PATENTS AND PUBLICATIONS FOR  
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STATEMENT

(use several sheets if necessary)

SERIAL NO.

09/858,323

CASE NO.

10736/7

FILING DATE

May 15, 2001

GROUP ART UNIT

2601

243

APPLICANT(S): Peder J. Jungck

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INITIAL

## OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

<i>AC</i>	A72	Juniper Networks, "M20 Internet Backbone Router," Datasheet, obtained at internet address, <a href="http://www.juniper.net/products/dsheet/100009.html">http://www.juniper.net/products/dsheet/100009.html</a>
<i>AC</i>	A73	Juniper Networks, "M40 Internet Backbone Router," Datasheet, obtained at internet address, <a href="http://www.juniper.net/products/dsheet/100001.html">http://www.juniper.net/products/dsheet/100001.html</a> .
<i>AC</i>	A74	Juniper Networks, Inc., "M160 Internet Backbone Router" Datasheet, obtained at internet address, <a href="http://www.juniper.net/products/dsheet/10012">http://www.juniper.net/products/dsheet/10012</a>
<i>AC</i>	A75	Rajeev Kumar, Intel Confidential, "IXP1200 Overview"
<i>AC</i>	A76	Intel "IXP1200 Network Processor," Datasheet, pp 1-109 (out of 146 pages), May, 2000.
<i>AC</i>	A77	Cisco 7500 Series Routers, pp. 1-2, obtained at internet address <a href="http://www.cisco.com/warp/public/cc/pd/rt/7500/">http://www.cisco.com/warp/public/cc/pd/rt/7500/</a>
<i>AC</i>	A78	Philips Semiconductors - I2C-bus, News and Events, obtained at internet address, <a href="http://www.semiconductors.philips.com/i2c/">http://www.semiconductors.philips.com/i2c/</a> , 7/28/01.
<i>AC</i>	A79	Comnet Booth #4421 "VHB Technologies Introduces Breakthrough Appliance for High-Bandwidth Networks at Comnet" News Release, January 29, 2001, VHB Technologies, Inc., Richardson, Texas.
<i>AC</i>	A80	VHB Technologies, Inc. presents "The VIPRE™ NPU."
<i>AC</i>	A81	VHB Technologies News & Views, "Pioneering Gigabit-Speed Content-Intelligent Appliances"
<i>AC</i>	A82	VHB Technologies, A Security Technology Paper, "Defending Against Denial-of-Service Attacks with the... VHB-2000 Network Security Appliance."
<i>AC</i>	A83	Netlogic Microsystems™ Product Brief, obtained at internet address, <a href="http://www.netlogicmicro.com/html/datasheets/nse3128.html">http://www.netlogicmicro.com/html/datasheets/nse3128.html</a> , pp. 1-2, 05/11/01.
<i>AC</i>	A84	Switch On Networks, Inc. "ClassiPI" Content Co-Processor, general content and features brochure, pp. 1-5.
<i>AC</i>	A85	C-Port, "C-5™ Digital Communications Processor" Product Brief, pp. 1-8, © 1999-2000 C-Port Corporation, 05/04/00.
<i>AC</i>	A86	NetLogic Microsystems™ "Policy Co-Processor™" applications and features sheet, p. 1.
<i>AC</i>	A87	NetLogic Microsystems™ "CIDR Co-Processor™" applications and features sheet, p. 1
<i>AC</i>	A88	NetLogic Microsystems™ "IPCAM® Ternary CAM" application and features sheets, pp. 1-2.
<i>AC</i>	A89	NetLogic Microsystems™ "SynCAM® Binary CAM" application and features sheet, p. 1.
<i>AC</i>	A90	NetLogic Microsystems™ "NCAM Binary CAM" application and features sheet, p. 1.
<i>AC</i>	A91	NetLogic Microsystems™ product overview, pp. 1-2.
<i>AC</i>	A92	Level One™ an Intel Company "IXF1002 Dual Port Gigabit Ethernet MAC," product brief, pp. 1-2, Copyright ©2000 Level One Communications, Inc.
<i>AC</i>	A93	Agere, Inc., Agere White Paper, "Building Next Generation Network Processors," September 10, 1999, pp. 1-8.
<i>AC</i>	A94	Eric J. Rothfus, Agere, Inc., Agere White Paper, "The Case for A Classification Language," September 10, 1999, pp. 1-7.
<i>AC</i>	A95	Philips "VMS747 Security Processor," Overview and Features, pp. 1-3, date of release 01/00, ©Philips Electronics N.V. 1999.
<i>AC</i>	A96	Web Cache Communication Protocol Version 2, Appendix C, Cisco Cache Engine User Guide, Version 2.0.0, pp. C1-C54.

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*April Cly*

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Az	A97	CloudShield Technologies, Inc., White Paper, "Security at Optical Speed," pp. 1-10, 01/21/01.
Az	A98	NetLogic Microsystems™ Product Brief "NSE3128 Network Search Engine," obtained at internet address <a href="http://209.10.226.214/html/datasheets/nse3128.html">http://209.10.226.214/html/datasheets/nse3128.html</a> pp. 1-2, 05/11/01.
Az	A99	Listing of Well Known Port Numbers assigned by the Internet Assigned Numbers Authority, obtained at the internet address , <a href="http://www.iana.org/assignments/port-numbers">http://www.iana.org/assignments/port-numbers</a> pp. 1-55, 08/05/00.
Az	A100	PM2329 PMC-Sierra, "ClassiPI™ Network Classification Processor," Overview and Features sheet pp. 1-2, 2001 Copyright PMC-Sierra, Inc. January 2001.

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